

4-26-01

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04/24/01
11093 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING NATIONAL PATENT APPLICATION
under 35 USC 111(a) and Rule 53(b) WITH SIGNED DECLARATION

PATENT
APPLICATION

Asst. Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

NONPROVISIONAL

11093 U.S. PTO
09/841799
04/24/01

Sir:

Enclosed herewith is the PATENT APPLICATION of
Inventor: HO et al.

(Our Deposit Account No. 03-3975)

Our Order No. 014271 0259668

Title: **"Method and System to Provide Modular Parallel
Precoding in Optical Duobinary Transmission Systems"**

Client # Matter #
0259668 ST-005

Atty. Docket

Client Ref
Date : April 24, 2001

including:

1. Specification: 26 pages including Title page, Claims and Abstract
2. Declaration with Notary Acknowledgment (3 pages total) (Original copy)
3. Drawings: 13 sheets (Formal)
4. An Assignment and cover sheet with Notary Acknowledgment (4 pages total). Please return the recorded Assignment to the undersigned.
5. Nonpublication Request under Rule - 213(a)

6. Basic Filing Fee	\$710 / 355	\$ 355.00	101/201
7. Total Claims: 42 minus 20 = 22	x \$18/\$9 =	+ 198.00	103/203
8. Independent Claims: 5 minus 3 = 02	x \$80/\$40 =	+ 80.00	102/202
9. If multiple dependent claim is present, add	+ \$270/\$135	+ 0.00	104/204
10. TOTAL FILING FEE ENCLOSED = \$ 633.00			
11. If "non-English" box is X'd, add Rule 17(k) processing fee	+ \$130/\$130	+ 0.00	139
12. If "assignment" box is X'd, add recording fee	+ \$40/\$40	+ 40.00	581
13. [] Enclosed is a Petition/Fee under Rule No.	+ \$130/\$130	+	122
14. TOTAL FEE ENCLOSED:	\$ 673.00		

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fees which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is enclosed. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

PILLSBURY WINTHROP LLP

By: **Christopher D. Agnew (Patent Agent)**, Reg. No. 43,464

1100 New York Avenue, N.W.
Ninth Floor, East Tower
Washington, D.C. 20005-3918
Tel: (650) 233-4609
Fax: (650) 233-4040

Chad Agnew
Express Mail Label
Date of Deposit: EL 692380856 US
April 24, 2001
I certify that this paper and listed enclosures are being deposited with the U.S. Post Office "Express Mail Post Office to Addressee" under 35 CFR 1.10 on the above date, addressed to: Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

Jeanette Walker-Cooks
Jeanette Walker-Cooks

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

Inventors: HO et al.

Title: **Method and System to Provide
Modular Parallel Precoding in
Optical Duobinary Transmission
Systems**

Order/Docket No.	014271	0259668
	Client #	Matter #
Client Ref No.:	ST-005	

Filed: Herewith

Date: April 24, 2001

NONPUBLICATION REQUEST UNDER RULE 213(a)

Sir:

The enclosed new application is **NOT TO BE PUBLISHED** under 35 U.S.C. §122(b).

I hereby certify that the invention disclosed in the enclosed new application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

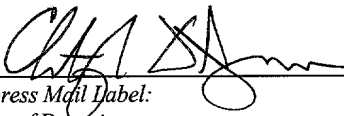
This request is signed in compliance with the provisions of Rule 33(b).

Respectfully Submitted,

PILLSBURY MADISON & SUTRO LLP

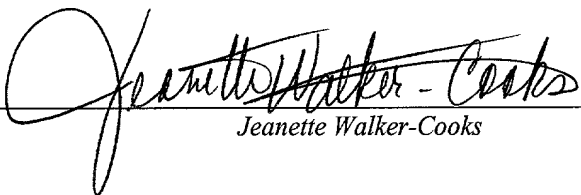
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Jeanette Walker-Cooks